Electronic Acknowledgement Receipt				
EFS ID:	3330639			
Application Number:	10828269			
International Application Number:				
Confirmation Number:	5446			
Title of Invention:	RISK MANAGEMENT SYSTEM AND METHOD PROVIDING RULE-BASED EVOLUTION OF A PORTFOLIO OF INSTRUMENTS			
First Named Inventor/Applicant Name:	Jim Degraaf			
Customer Number:	1059			
Filer:	Kendrick Lo./Shelley Cotgrave			
Filer Authorized By:	Kendrick Lo.			
Attorney Docket Number:	11483-174			
Receipt Date:	20-MAY-2008			
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Time Stamp:	16:36:37			
Application Type:	Utility under 35 USC 111(a)			

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Submitted with Payment no	
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	11483_174_interview_summ	151970	V/OO		
		ary.PDF	ccbc7b96717aec03208d27c9c86dcced 6b9df5f6	yes	

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Miscellaneous Incoming Letter	1	1	
	Applicant summary of interview with examiner	2	2	
Warnings:		•		

Information:

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.